MAR 2 8 2003 ATTY DOCKET NO.: S63,2B-6769-US

#30

APPLICATION NO.: 09/197278 LIST OF PATENTS AND PUBLICATIONS APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean PEN FOR APPLICANT'S P. Skubitz ORMATION DISCLOSURE STATEMENT FILING DATE: November 20, 1998 **GROUP: 3731** (Use several sheets if necessary) REFERENCE DESIGNATION U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS DOCUMENT NUMBER NAME EXAM'S **DATE** CLASS/ FILING DATE INIT. **SUBCLASS** IF APPROPRIATE 1/17/02 AA 2002/0007212 A1 Brown et al. 623/1.16 2002/0177893 A1 AB 11/28/02 Brown et al. 623/1.16 2001/0056298 A1 12/27/01 623/1.16 Brown et al. AC AD AE **AF** TECHNOLOGY CENTER R3700 AG AH ΑI AJ AK AL FOREIGN PATENT DOCUMENTS **DOCUMENT NUMBER DATE COUNTRY** BA BBBC BD BE OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.) CA CB CC DATE CONSIDERED **EXAMINER** JANE 16, 2003 EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if

not in conformance and not considered. Include copy of this form with next communication to applicant.

O 1 P E MAR 2 8 2003

ATTY DOCKET NO.: S63.2B-6769-US

APPLICATION NO. 09/197278

ONPUBLISHED US APPLICATIONS

APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz

FILING DATE: November 20, 1998

GROUP: 3731

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY							
EXAM'S ΙΝΙ/Γ.		APPLICATION NO.	FILING DATE	INVENTOR(S)			
W,	DA	08/396569	3/1/95	Brown			
UB	DB	09/599674	6/22/00	Brown et al.	RECEIVED		
Us	DC	09/666866	9/20/00	Brown et al.	APR 0 2 2003		
	DD				TECHNOLOGY CENTER R3700		
	DE	0			TEOTING COUNTRICTION OF		
EXAMINER JUM				DATE CONSIDERED June, 16, 2003			

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

2 of 2

TDS#32

FORM PTQ\$ 449 (Modified) ATTY DOCKET NO.: S63.2B-6769-US APPLICATION NO.: 09/197278 LISTIC PATENTS AND PUBLICATIONS APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean FOR APPLICANT'S P. Skubitz INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) **GROUP: 3731** FILING DATE: November 20, 1998 REFERENCE DESIGNATION U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS **DOCUMENT NUMBER** DATE EXAM'S **NAME** CLASS/ FILING DATE **SUBCLASS** INIT. IF APPROPRIATE AA AB AC AD ΑE **AF** AG AH TECHNOLOGY CENTER RETTO ΑI AJ AK AL FOREIGN PATENT DOCUMENTS **COUNTRY DOCUMENT NUMBER** DATE BA BB BC BD BE OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.) Starck, E., "First Clinical Experience with the Memotherm Vascular Stent", STENTS State of the Art Future CA Developments, pages 59-62 (June 1995). Melzer, A. et al., "Performance Improvement of Surgical Instrumentation Through the Use of Ni-Ti Materials, CB Proceedings of SMST-94 The First International Conference on Shape Memory and Superelastic Technologies, pages 401-409 (March 7-10, 1994). CC THULL **DATE CONSIDERED EXAMINER** 04/15/2004 EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

MAR 1 1 2004

#35

FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY DOCKET NO.: \$63.2B-6769-US01

APPLICATION NO.: 09/197278

APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz

FILING DATE: November 20, 1998

GROUP: 3731

District	DENC	E DECICNATION		PATENT AND PUBLISHED	ADDI ICATION	I DOCUMENTS		
EXAM'S	CENC	E DESIGNATION DOCUMENT NUMBER	DATE	NAME	CLASS/	FILING DATE		
INIT.					SUBCLASS	IF APPROPRIATE		
1/8	AA	5411552	5/02/95	Andersen et al.	623/2			
	AB	5649952	5/22/97	Lam	606/198			
	AC	5707386	1/13/98	Schnepp-Pesch et al.	606/194			
	ΑĎ	5855597	1/05/99	Jayaraman	623/1			
	AE	5855600	1/05/99	Alt	623/1			
•	AF	5868780	2/09/99	Lashinski et al.	606/198			
	AG	5876432	3/02/99	Lau et al.	623/1			
	ΑН	5895407	4/20/99	Jayaraman	606/198			
	ΑI	5913895	6/22/99	Burpee et al.	623/1			
	AJ	5925061	7/20/99	Ogi et al.	606/198			
	AK	6056775	5/02/00	Borghi et al.	623/1.16			
	AL	6132460	10/17/00	Thompson	623/1.15			
	AM	6193747 B1	2/27/01	Von Oepen	623/1.15			
	AN	6395020 B1	5/28/02	Ley et al.	623/1.15			
4	AO	6533808 B1	3/18/03	Thompson	623/1.15			
VB	AP	6613081 B2	9/02/03	Kim et al.	623/1.15			
	AQ							
		F	OREIGN PA	ATENT DOCUMENTS	-			
;		DOCUMENT NUMBER	DATE	COUNTRY				
VB,	BA	0 796 597 A2	9/24/1997	EP				
VB	BB	97/32544	9/12/1997	wo				
Vs	BC	99/44535	9/10/1999	wo				
	AY							
	AZ	0.						
EXAMINER MAL		DATE CO	ATE CONSIDERED 05/95/04					

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APPLICATION NO. 09/197278

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)			ATTY DOCKET NO.: 503.28-0709-0501			APPLICATION NO. 09/19/2/8				
			APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz							
			FILING DATE: November 20, 1998			GROUP: 3731				
		OTHER	ART (Including Auth	or, Title,	Date, Po	rtinen	nt Pages, Ect.)	<u> </u>	
	CA									
	СВ		c							
J	<u> </u>	UNPUBLI	SHED U	US APPLICATI	IONS NO	T REL	ATED	BY PRIORITY		
EXAM'S INIT;		APPLICATION NO	FILING DATE INV		INVE	NVENTOR(S)				
Vh	DA	60/076,946		3/5/1998 Day		David	Pavid Tseng, et al.			
·YB	DB	09/623,347		11/15/2000 David Tse		Tsen	eng, et al.			
VB	DC	10/474,848	12/29/2003 David Tseng			Tsen	g, et al.			
			A T-T-1/	DOCKET NO.	C(2 2D (2	// LICO1		APPLICATION NO. 00000		
	US APPLICATIONS			ATTY DOCKET NO.: S63.2B-6769-US01			APPLICATION NO. 09/197278			
RELATED BY PRIORITY (COPIES NOT ENCLOSED)			APPLICANT: Brian J. Brown, Michael Davis, Davi				d Friesen, Timothy J. Ley and Sean P. Skubitz			
·				FILING DATE: November 20, 1998				GROUP: 3731		
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EXAMINER WWW.				DATE CONSIDERED 05/05/04						
EXAMINE if not in cor	R: Ini 1forma	tial if application consi nce and not considered	dered, v I. Includ	vhether or not cit de copy of this fo	tation is in	n conform	nance munica	with MPEP 609; Draw line thation to application.	rough citation	
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ATTY DOCKET NO.: \$63.2B-6769-US01